

Pacific Northwest Enterprise Risk Forum

~2013 Speaker Biographies~

Randall Bolten

Chief Executive Officer, Lucidity

Randall Bolten is the author of *Painting with Numbers: Presenting Financials and Other Numbers So People Will Understand You*. His 30-year career as a financial executive in Silicon Valley includes nearly 20 years as chief financial officer for both public companies (BroadVision and Phoenix Technologies) and startups. He has also held senior financial management positions at Oracle and Tandem Computers. He currently operates Lucidity, a consulting practice in Menlo Park, California focused on short engagements with specific deliverables, including business models, reporting packages, and incentive compensation packages. Bolten holds a Bachelor of Arts degree from Princeton University and an MBA from Stanford University.

Aaron Brown

Partner, Deloitte & Touche LLP

Aaron Brown is a partner with Deloitte & Touche LLP. He specializes in management of large internal controls attestation and readiness engagements for Sarbanes-Oxley compliance, Service Organization Controls (SOC) Reports in the software industry.

Aaron has over 16 years' experience in financial systems auditing under Sarbanes-Oxley, business process controls, information technology controls design and implementation, and application security. This includes more than six years with internal controls attestation and readiness for cloud related businesses in the areas of software-as-a-service, platform-as-a-service, and infrastructure-as-a-service. He also teaches Cloud Computing Audit offered in cooperation with the Information Systems Audit and Control Association

Aaron is known for his work on SAP security and control implementations for many Fortune 100 companies in the software, heavy manufacturing, consumer products, and energy industries. He also teaches the Introduction to SAP Auditing and the Advanced Technical Audit of SAP courses offered in cooperation with the Institute of Internal Auditors.

Jeff Davis

Vice President Finance Corporate Audit Services, Expedia, Inc.

Jeff Davis is Vice President Finance – Corporate Audit Services for Expedia, Inc. In this capacity, Mr. Davis directs Expedia's Internal Audit function on a global basis.

Mr. Davis has over 20 years of experience in various finance, accounting, and auditing roles. He has been with Expedia a little over eight years and started Expedia's Internal Audit function after their spin off from Interactive Corp in the summer of 2005. Mr. Davis moved to the west coast from the Washington, DC area where he spent five years with Marriott International in charge of their worldwide internal audit function. Prior to joining Marriott International, Mr. Davis spent three years with Marriott's timesharing subsidiary in various accounting and finance roles including Division Controller. Before his career at Marriott, Mr. Davis spent nine years in various external audit roles within Arthur Andersen, LLP.

Jeff is an experienced instructor and currently teaches "Fundamentals of Internal Auditing" as an adjunct professor at Seattle University in the Masters of Accounting program.

John Dienhart

Director, Center for Business Ethics at Albers, Seattle University

John Dienhart, Ph.D., is the Frank Shrontz Chair for Professional Ethics at Seattle University. Dr. Dienhart is the Founder and Director of the Center for Business Ethics at Seattle University and the Director of the Northwest Ethics Network, an independent group of ethics and compliance officers from the Pacific Northwest

John focuses on creating ethical cultures in which compliance occurs naturally. He has consulted with and done ethics training for Bonneville Power Administration, Costco, Holland America, Premera Blue Cross, Russell Investment Group, Starbucks, Washington Mutual, Weyerhaeuser, and the Russell Family Foundation.

John is a past President of the Society for Business Ethics. His comments have appeared in the New York Times, The Washington Post, the LA Times, the Puget Sound Business Journal, the Seattle Times, and the San Francisco Chronicle. He has published four books, several articles, and made many presentations on ethics, ethical cultures, and on effective, ethical leadership.

Jamie Finnigan

Security Architect, HP Cloud

Jamie Finnigan is a security architect at HP Cloud, where he has responsibilities across risk management, security strategy / operations, and compliance. Prior to joining HP, Jamie held security-focused roles in professional services and technology / telecommunications industries, working to identify and mitigate critical security issues within business and technology environments.

Bill Garrett

Foreign Exchange Risk Management, Bank of America Merrill Lynch

Bill Garrett is a Vice President at Bank of America Merrill Lynch in the Global Rates and Currencies Group in San Francisco. He joined the firm in 2010 and is responsible for marketing foreign exchange hedging transactions to bank clients primarily located in the Pacific Northwest and Desert Mountain regions. Bill began his career at JPMorgan Chase in Texas as an Analyst and served for four years in a variety of hedging roles. He holds a Master of Business Administration from Tulane University and a Bachelor of Business Administration in Finance and Real Estate from Baylor University.

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Mike Gibbons

Managing Director, Alvarez & Marsal

Mike Gibbons is a Managing Director with Alvarez & Marsal's Global Forensics & Disputes Services practice. Mr. Gibbons is the former Chief of Cyber Crime Investigations for the F.B.I., and joined A&M in 2012 from Deloitte where he was a Principal for 6 years. His work chasing cyber spies was detailed in the best-selling book titled "The Cuckoo's Egg" by Dr. Clifford Stoll. He is a Certified Information Security Professional and a Certified Privacy Professional. Mike is excited to participate at this year's conference and in his long standing career, he and his former colleagues may have investigated last year's speaker at the conference during the early days of hacking and cyber crimes.

Robert B. Hirth, Jr.

Chairman, COSO

Bob Hirth serves as COSO Chair and was unanimously elected by the board of its sponsoring organizations to serve a three year term beginning June 1, 2013. His experience includes all of COSO's mission disciplines; Enterprise Risk Management, Internal Control and Fraud Deterrence. He has worked on assignments and made presentations in over 15 countries, serving more than 50 organizations and working closely with board members, C-level executives, finance and accounting personnel and accounting firm partners and employees.

Most recently, he served as a Senior Managing Director of Protiviti, a global internal audit and business risk consulting firm that operates in 22 countries. Prior to that, he was Executive Vice President, Global internal audit and a member of the Firm's six-person executive management team for the first ten years of Protiviti's development.

Sanjaya Krishna

Principal, KPMG LLP

Sanjaya is a principal at KPMG LLP and serves as one KPMG's U.S. Digital Risk Consulting leader. In this capacity, Sanjaya helps companies with the reality of emerging technologies that fall within the realms of digital, social, and mobile engagement. Sanjaya helps companies across industries understand how to use digital, social and mobile technologies more responsibly, and the impact of not doing so. Sanjaya is a regular speaker on the topic of being "responsibly digital", having addressed audiences from boards of directors to marketing professionals at a variety of online and external conference events. He is an author of published thought leadership on the topic of being responsibly digital, has personally seen responsible use of digital, social and mobile technologies gain increasing visibility with the C-suite and the Board and is excited to share his significant experience to help attendees navigate the challenges and take advantage of the opportunities.

Stein Kruse

President and Chief Executive Officer, Holland America Line Chairman, Seabourn

Stein Kruse is president and chief executive officer of Holland America Line Inc. and chairman of Seabourn, both units of Carnival Corporation & plc. Holland America Line operates a fleet of 15 premium cruise ships offering more than 500 cruises annually on all seven continents. Seabourn's fleet of six ultra-luxury ships offers 197 cruises annually throughout the world.

Stein joined Holland America Line in Seattle in 1999 as senior vice president, fleet operations with overall responsibility for operations and newbuilding. In 2003, he was named president and chief operating officer, and on December 1, 2004, chief executive officer. In January, 2011, he was named chairman of Seabourn and the corporate headquarters transitioned from Miami to Seattle.

Under Stein's leadership, nine new Holland America Line ships and one new Seabourn ship have been introduced. He implemented the Signature of Excellence quality and service enhancement initiative and created a Health, Environmental, Safety and Security program. As well, Stein has broadened Holland America Line's philanthropic efforts, with programs that have raised more than \$3 million for Susan G. Komen for the Cure and encouraged employees to serve on nonprofit boards.

Stein currently serves on the boards of the U.S. Coast Guard Foundation, World Trade Center Seattle, Saltchuk Resources Inc. and the University of Washington Foster School of Business Dean's Advisory Board.

James LaTorre

Partner, PricewaterhouseCoopers LLP

Jim LaTorre is a senior partner in PwC's Risk Assurance practice where he concentrates in Internal Audit Services. His primary practice area is within the Technology Sector. Jim previously served as the Global and US Leader, Internal Audit Services, for PwC. Over the course of his thirty-three year career he also served on PwC's US Assurance Leadership Team and US Advisory Leadership Group. Recently, Jim relocated to Seattle from Washington, DC to serve as the lead engagement partner on a large scale global compliance monitoring program. He also assumed a practice management position for PwC as the West Region Risk Assurance Leader. For the past 14 years Jim has served as the Director, Internal Audit for a Fortune 500 hospitality and leisure company under a full outsource contract. In this role Jim reports directly to the Audit Committee.

Howard Mannella

Managing Principal, Alternative Resiliency Services Corp

Howard Mannella is a seasoned executive in Business Resiliency, Business Continuity, Disaster Recovery, Crisis Management and related disciplines. He has been a featured speaker at Continuity Insights, World Conference of Disaster Management, Partners in Emergency Preparedness, International Disaster Conference and Expo, Securities Industry Association, InterCEP and numerous executive venues as well as testimony before the Securities and Exchange Commission.

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Mr. Mannella was formerly Principal Resiliency Strategist for Expedia Inc, where he established the global program from the ground up and managed it for almost eight years. The program covered over 5,000 people in a dozen locations across North America and EMEA. The program introduced a number of innovations: Emergency Work Centers in the US and Europe, mass Emergency Notification Services, and an internationally-acclaimed High-Energy Exercise program including high-impact Table Top Exercises, Functional Simulations and full Mock Emergency Event business closures (an industry best practice). His exercises presaged a number of actual events, and the program elements were proven in a number of real emergency situations. Mr. Mannella also drove Expedia's business impact planning for the 2009 H1N1 Flu Pandemic and 2012 London Olympic Games.

Mr. Mannella was previously Senior Delivery Manager for an East Coast BC/DR consultancy, implementing plans and conducting exercises for blue-chip organizations in International Banking, Insurance, Pharmaceuticals, Internet/New Media, Defense/Aerospace and other sectors, some of which also were proven in actual events.

Mr. Mannella started his career on Wall Street as an associate with Credit Suisse First Boston (where he held a Series 7/63 securities license), and then as a management consultant with Accenture, KPMG Nolan Norton and others.

Tim Simmons

Senior Vice President Director of Business Leadership, RAPP

Tim Simmons is a highly accomplished, creative and marketing business strategist with 20 years experience in implementing marketing and CRM solutions. He has a successful record of generating growth, revenue and market share for both employers and clients. Tim has led successful programs with a number of top retailers and is also experienced in non-direct retailing including both B2B and channel marketing strategies. He is an enthusiastic leader who is capable of gaining trust and encouraging diverse groups to identify novel strategies for complex business solutions.

Tim has successfully led projects in designing and implementing retail marketing strategies for enterprise-wide CRM, loyalty programs, lead management / prospecting, segmentation, Touchmaps, data strategy and others. Some of Tim's retail clients include FedEx Office, Best Buy, Chili's, Maggiano's, Carter's, Boise Cascade Office Products (now OfficeMax), Compaq, Amway, and Bank of America. Other experiences with non-direct retailing includes HP, AMD, Amgen, EDS, SABRE, HM Revenue & Customs, US Dept. of the Treasury, and TXU Energy. Two of Tim's clients have received prestigious awards based on his teams' consulting work – the Gartner CRM Excellence Award, OfficeMax, and the 1:1 Innovation Award, AMD.

Prior to joining RAPP, Tim worked as the worldwide lead for HP's IPG Channel CRM program focused on assisting retailers in the sale of printing supplies. During his almost two-year tenure on the business, the global program revenue coverage grew from \$400M to \$3.2B and assisted retail partners to consistently hit ROIs well over 100%. Prior to HP, Tim spent years as a marketing strategist working for consulting firms such as Peppers and Rogers Group and Ernst and Young Consulting (now Cap Gemini).

Tim received his MBA and MIS degrees from the BYU Marriott School and Kennedy Center for International Studies. He is proficient in English, Russian and Dutch.

Sanjay Singh

Vice President, Internal Audit, Starbucks Coffee Company

Sanjay serves as the Vice President and global leader for the Internal Audit function at Starbucks. His U.S., international and emerging markets experience includes over two decades in diverse areas of business and corporate finance at a number of large and predominantly multinational corporations in a variety of industries. Sanjay has an MBA from Georgia Southern University and a Master of Accountancy from Washington State University. He is a CPA (Certified Public Accountant), a CMA (Certified Management Accountant) and a CIA (Certified Internal Auditor). Over the last five years, Sanjay has done considerable work to develop process efficiency and standardization to facilitate efficient and effective operations for smaller, US based multinational not for profit organizations. Sanjay also serves as an advisor to Kids with Destiny, a not for profit which evolved from the Oscar winning documentary Born into Brothels, narrating the conditions for the children in a red light district of Kolkata, India.

Cindy Solomon

President & CEO, Cindy Solomon & Associates, Inc.

Cindy Solomon is an internationally recognized speaker, consultant, author and entrepreneur who helps organizations, teams and individuals find their courage. Their courage as leaders, as business owners and as individuals. She has helped organizations as varied as Alaska Airlines and Google, The Ritz Carlton and Raytheon to create and nurture the leadership and customer service skills they need to meet the challenges of today's economy. As the number one speaker at conferences around the country Cindy has brought her humorous, provocative and meaningful insights to literally thousands of leaders each year. Cindy's books, The Rules of Woo: A guide to capturing the hearts and minds of today's customers and The Courage Challenge Workbook are available on Amazon.

Andy Stefanovich

Chief Curator and Provocateur, Prophet

As Chief Curator and Provocateur at Prophet, Andy has earned a reputation as one of the most disruptive and effective advisors in business. In his 20 years Andy helped reinvent the leadership of GE, conceived a new version of Vegas through the Cosmopolitan Hotel, and helped Gap re-imagine its future. These projects and more highlight his passion for inspiration, creativity, and innovation.

Andy's first book, Look at More: A Proven Approach to Innovation, Growth and Change was released in April 2011. Look at More quickly became a best seller, and was cited in Ad Age as one of "Ten Marketing Books You Should Have Read." The book has served as a natural spring board to Andy's current exploration of something he calls the "museum of life."

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As a member of the Washington Speakers Bureau, Andy has the opportunity to travel the world discussing his most inspiring discoveries, observances, and experiences. Constantly soaking in knowledge as he explores, Andy's well never runs dry.

In 1990, Andy co-founded Play, a creativity and innovation company that changed the way business does business. While there, he equipped leading companies with ways to inspire their people and generate sustainable innovation. Prophet and Play joined forces in December 2008.

Andy currently acts as visiting professor at many leading universities, including Dartmouth, University of Michigan, Duke, University of Richmond, and the Wharton School of Leadership. As a professor, Andy remains continually encouraged by the wealth of talent that will soon shape the world. He is honored to be a part of that shaping.

Andy strongly believes in supporting community through education, nonprofit, and civic initiatives including the VCCA, and plays a leadership role in hosting TEDxRVA in his home town of Richmond, Virginia. Andy holds a B.S. from Miami University of Ohio.

Dominique Vincenti

Vice President of Internal Audit, Nordstrom

Dominique Vincenti is the VP of Internal Audit at Nordstrom, a Fortune 250 Company and one of the US leading Fashion Specialty Retailer. Her 20 years of experiences includes internal audit management positions principally in the retail industry for prominent international retailers: Marks & Spencer – UK or the PPR group – France (Gucci, Yves Saint Laurent, Alexander McQueen, Balenciaga, Stella McCartney, Puma etc...).

For 6 years prior to joining Nordstrom she was a Chief Officer at The Institute of Internal Auditors where she was overseeing the organization's professional, research and technical practices, developing guidance or representing the internal audit profession working on Governance Risk & control issues, closely with other professional, national or international institutions and regulators such as the US Securities and Exchange Commission, International Organization of Supreme Audit Institution, the International Federation of Accountants or the OECD to name a few.

Dominique holds a Master from EDHEC - France, and a Management Sciences diploma from the London School of Economics and Political Sciences – UK

Olivia White

Associate Principal, McKinsey & Company

Olivia is an Associate Partner in the San Francisco office of McKinsey & Company and a core member of the Firm's Risk Management and Service Operations practices. She has extensive experience serving a broad range of financial institutions and social sector clients. Her areas of focus in risk management include operational risk, enterprise risk management, risk appetite, stress testing, and organizational design. She also has significant experience helping design and lead transformational change initiatives.

Olivia's recent client service includes helping a top-four US bank define and refine its Risk Appetite Framework; conducting an in-depth enterprise risk management diagnostic and initiative prioritization effort for a major diversified bank; and helping a business unit of a major US corporation with risk management across all risk types and assessing the unit's fit in the client's corporate portfolio. Olivia also recently helped a leading bank transform business lending operations end-to-end and helped lead a major project with a global foundation examining payment systems around the world to understand implications and opportunities for financial inclusion.

Prior to joining McKinsey & Company, Olivia was a Pappalardo Fellow in Physics at MIT. She holds a PhD in Physics from Harvard, an MSc in Mathematics from Oxford University where she was a Rhodes Scholar, and a BA in Physics and Mathematics from Stanford University.

Lori Woehler

Principle Group Program Manager, Microsoft

Lori joined Microsoft in 2002 bringing fifteen years of industry experience in security, privacy and compliance to build the first Microsoft security consulting practice focused on the top 100 global customers during the "Blaster/Slammer" era. She led the Microsoft Security Mobilization programs to build security tools, training, guidance and resources for millions of IT Pros, Developers, Enterprise, Mid-size and Small Businesses. Following a two year assignment as Chief of Staff in Server and Tools, she led security, privacy and compliance for Microsoft Advertising through \$8B in acquired companies and the integration with Yahoo! Systems to meet external regulatory and audit standards. In her current role, Lori is a Principle Group Program Manager for the team responsible for information security, privacy and compliance programs across Windows Azure, Active Directory, SQL Azure and partnering with other divisions to address compliance as they onboard to Azure.

Chad Woolf

Director, Amazon Web Services

Chad leads the global compliance program at Amazon Web Services. His efforts focus on enabling enterprise and government adoption of cloud computing by supporting integration of the AWS environment into customers' risk and control frameworks. The scope of Chad's program includes AWS governance, risk, compliance, and privacy programs.

Fawn Yu

Interest Rate Risk Management, Bank of America Merrill

Lynch Fawn Yu is a Vice President at Bank of America Merrill Lynch in the Global Rates and Currencies Group in San Francisco. She works with clients to help understand and manage the risks associated with interest rate movements. Given the volatility over the past few years, her

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group has helped numerous clients understand the markets and structure hedging solutions to minimize dollars at risk. She joined BofAML in 2008 from Goldman Sachs. She holds a Bachelor of Science degree from the Massachusetts Institute of Technology.